

Class numbers in cyclotomic \mathbb{Z}_p -extensions

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Cyclotomic \mathbb{Z}_p -extensions

Let \mathbb{B}_{p^n} denote the n th layer of the cyclotomic \mathbb{Z}_p -extension of the rationals, i.e. the unique real subfield of the cyclotomic field $\mathbb{Q}(\zeta_{p^{n+2}})$ that has degree p^n over \mathbb{Q} .

$\mathbb{B}_{p^\infty} = \bigcup_{n \geq 1} \mathbb{B}_{p^n}$ is called the **cyclotomic \mathbb{Z}_p -extension of \mathbb{Q}** . Its Galois group over \mathbb{Q} is isomorphic to the p -adic integers \mathbb{Z}_p .

Hence the name.

A Big Question

Let h_{p^n} denote the class number of \mathbb{B}_{p^n} .

A Big Question: Is h_{p^n} ever not equal to 1? Does it have any prime divisors?

Let's consider the evidence...

The Evidence: no prime divisors of h_{p^n} so far...

(Weber, 1886) h_{2^n} odd for all n .

(Fukuda-Komatsu, 2011) h_{2^n} has no prime divisors less than 10^9 , for all n .

(Fukuda-Komatsu-Morisawa, 2014) h_{3^n} has no prime divisors less than 10^9 , for all n .

(Iwasawa, 1956) h_{p^n} not divisible by p , for all n .

(Ichimura-Nakajima, 2010) h_{p^n} is odd, for all n and primes $p < 500$.

The few h_{p^n} that are known all have class number 1.

$\mathbb{B}_{p,n}$	Class number	
\mathbb{B}_{2^6}	1	M. (2013)
\mathbb{B}_{2^7}	1 (under GRH)	M. (2013)
\mathbb{B}_{3^3}	1	Masley (1978)
\mathbb{B}_{3^4}	1 (under GRH)	van der Linden (1982)
\mathbb{B}_{5^2}	1	M. (2014)
\mathbb{B}_{7^1}	1	Bauer (1969)
\mathbb{B}_{11^1}	1	M. (2014)
\mathbb{B}_{13^1}	1	M. (2014)
\mathbb{B}_{17^1}	1	M. (2014)
\mathbb{B}_{19^1}	1	M. (2014)
\mathbb{B}_{23^1}	1 (under GRH)	Pari via SageMathCloud
\mathbb{B}_{29^1}	1 (under GRH)	Pari via SageMathCloud
\mathbb{B}_{31^1}	1 (under GRH)	Pari via SageMathCloud

What do the Cohen-Lenstra heuristics suggest?

$$P_T = \prod_{p \text{ prime}} \prod_{\substack{q \text{ prime} \\ q \neq p}} \prod_{j \geq 2} \prod_{k \geq 2} (1 - q^{-fk})^{\phi(p^j)/f}$$

is the “probability” (in the Bayesian sense) that $h_{p^n} = 1$ for all p and n . Here f is the order of q modulo p^j .

We can think of

$$-\log P_T = - \sum_{p \text{ prime}} \sum_{\substack{q \text{ prime} \\ q \neq p}} \sum_{j \geq 2} \sum_{k \geq 2} (1 - q^{-fk})^{\phi(p^j)/f}$$

as the number of expected exceptions to $h_{p^n} = h_{p^{n-1}}$.

What about that quadruple sum?

Buhler, Pomerance and Robertson (2003) proved that the sum is finite,

$$-\log P_T = - \sum_{p \text{ prime}} \sum_{\substack{q \text{ prime} \\ q \neq p}} \sum_{j \geq 2} \sum_{k \geq 2} (1 - q^{-fk})^{\phi(p^j)/f} < \infty,$$

and conjectured that the number of expected exceptions to $h_{p^n} = h_{p^{n-1}}$ is finite.

What's new?

Using an explicit sieve result of Siebert (1976) and refining our sum by excluding terms that correspond to primes that we already know do not divide h_{p^n} , we can prove that

$$-\log P_T = - \sum_{p \text{ prime}} \sum_{q \text{ prime}} \sum_{j=1}^{\infty} \sum_{k \geq 2} \frac{\phi(p^j)}{f} \log(1 - q^{-fk}) < 0.33$$

excluding terms where the
 q -part of the class group
is known to be trivial

and so

$$P_T > 72\%$$

The Conjecture

This suggests the following conjecture:

For **any** prime p and positive integer n ,

$$h_{p^n} = 1.$$